

## 6.8 台风强度指数



# 台风强度指数

## ✓ 强度突变指数 ( Kaplan and DeMaria 2003 )

### Rapid Intensification Index ( RII )

- 台风强度在未来24小时强烈发展 ( 24小时内增加15米/秒或以上 ) 的可能性
- 业务应用

**Atlantic & E. Pacific since 2006**

**Western Pacific since 2018**

# 台风强度指数

- ✓ **双眼墙形成指数** ( Kossin et. al. , 2006 )  
**Secondary Eyewall Formation Index ( SEFI )**
- ✓ **环状飓风指数** ( Cram et al. 2006 ) **Annular Hurricane Index ( AHI )**
- ✓ **风暴垂直切变倾向** ( Gallina and Velden 2002 )  
**Storm Relative Shear Tendency**
- ✓ **台风变性指数** ( Sarah C. Jones, Patrick A. Harr, Jim Abraham等 , 2003 )  
**Extra Tropical Transition Index ( ETI)**

# ● 强度突变指数

## Rapid Intensification Index ( RII )

<b>Predictors used in operational NHC SHIPS-RII</b>			
	Predictor	Definition	More Favorable
<b>1</b>	<b>PER</b>	<b>Previous 12-h intensity change</b>	<b>Larger</b>
2	VMAX	Maximum sustained wind (t=0h)	Avg. of RI sample
3	IRSD	Std. dev. of 50-200km IR brightness temperatures (t= 0h)	Smaller
4	IRPC	2nd principle component of IR image (0-440 km radius) (t= 0h)	Front left quadrant
5	SHEAR	850-200hPa shear 0-500 km radius	Smaller
<b>6</b>	<b>D200</b>	<b>200hPa divergence from 0-1000 km radius (time-avg.)</b>	<b>Larger</b>
7	TPW	Percent area with total precipitable water (TPW) < 45 mm within 500km 90 deg. up-shear (t=0h)	Smaller
8	CFLX	Inner-core dry-air predictor/flux	Smaller
<b>9</b>	<b>POT</b>	<b>Potential intensity ((time-avg.)</b>	<b>Larger</b>
<b>10</b>	<b>OHC</b>	<b>Oceanic heat content (time-avg.)</b>	<b>Larger</b>
<b>3 new predictors</b>		<b>RMW Objective Storm Size R5 (Knaff et al. 2014) Sine of Latitude</b>	

- **强度突变指数 Rapid Intensification Index ( RII )**

- 2018/2019 NHC Operational Statistical RI models**

- ✓ Predict RI probability for **8 RI thresholds** at **4 lead times** ( 20kt/12h, 25kt/24h, 30kt/24h, 35kt/24h, 40kt/24h, 45kt/36h, 55kt/48h and 65kt/72h) for Atlantic and E. Pacific

- **强度突变指数 Rapid Intensification Index ( RII )**

- 2018/2019 NHC Operational Statistical RI models**

- ✓ Multi-lead time RI models developed include the following

- ① **SHIPS-RII (Kaplan et al. 2015)**

- Based upon **linear discriminant analysis**
- 10 SHIPS environmental predictors utilized in both Atlantic and E. Pacific basins

- ② **Logistic regression and Bayesian RI models**

- (Rozoff and Kossin 2011; Kaplan et al. 2015)

- **强度突变指数 Rapid Intensification Index ( RII )**

- 2018/2019 NHC Operational Statistical RI models**

- ✓ Multi-lead time RI models developed include the following

- ③ **Consensus RI model**

- The arithmetic average of SHIPS, Logistic, and Bayesian models

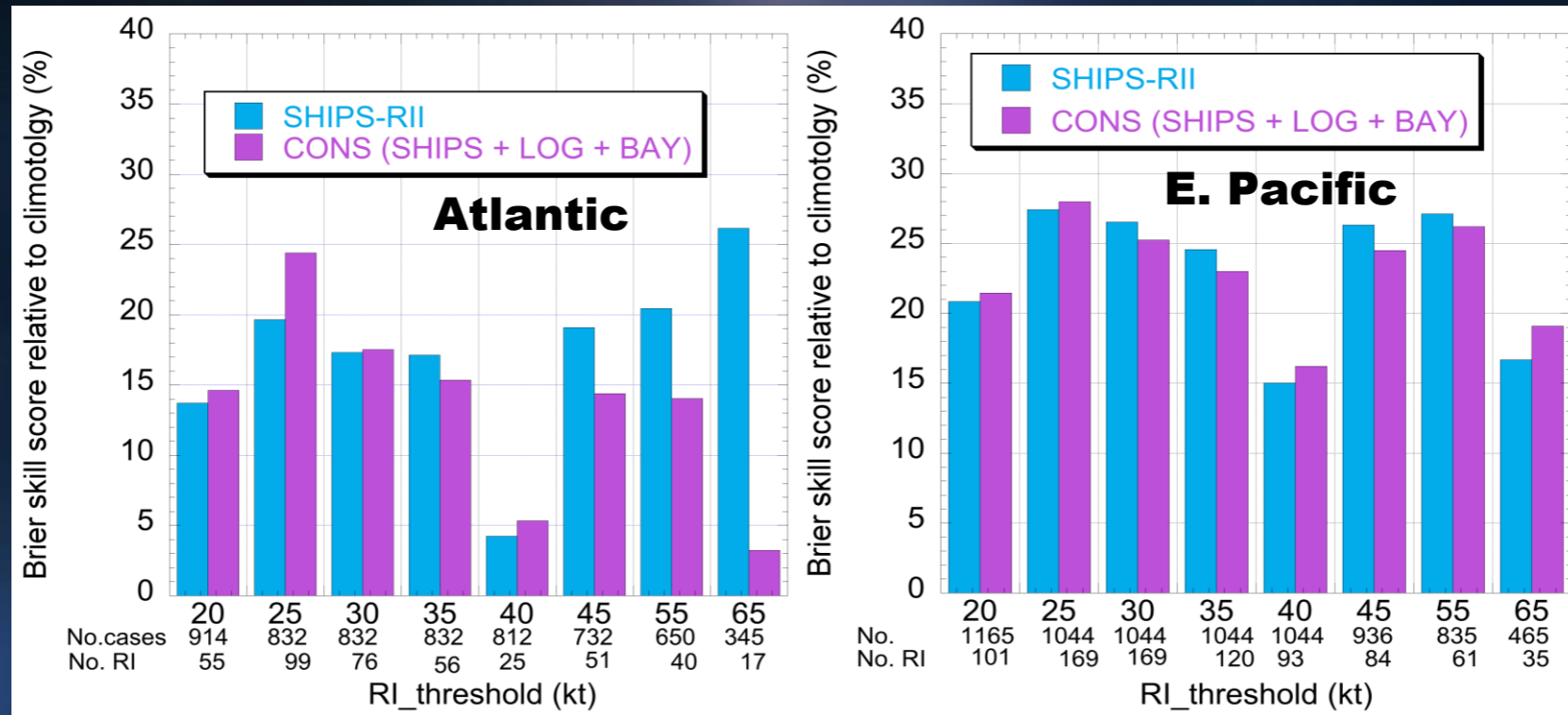
- ④ **Probabilistic Statistical Rapid Intensification Index (DTOPS)**

- (Matthew Onderlinde & M. DeMaria , 2018)

- Based on logistic regression, ECMWF, GFS, HWRF, LGEM, and SHIPS

● **强度突变指数 Rapid Intensification Index (RII)**

**2016-2018 NHC Operational Statistical RI models**



**Skill of the 2016-2018 Operational RI model Forecasts**

# ● 强度突变指数 Rapid Intensification Index ( RII )

## 2018/2019

### NHC Operational Statistical RI Product

CURRENT MAX WIND (KT): 75. LAT, LON: 21.0 66.9									
** 2019 ATLANTIC RI INDEX AL052019 DORIAN 08/29/19 12 UTC **									
(SHIPS-RII PREDICTOR TABLE for 30 KT OR MORE MAXIMUM WIND INCREASE IN NEXT 24-h)									
Predictor	Value	RI Predictor Range	Scaled Value(0-1)	% Contribution					
12 HR PERSISTENCE (KT)	: 5.0	-49.5 to 33.0	0.66	6.3					
850-200 MB SHEAR (KT)	: 7.0	30.1 to 2.3	0.83	3.0					
HEAT CONTENT (KJ/CM2)	: 60.0	0.0 to 151.8	0.40	1.2					
STD DEV OF IR BR TEMP	: 16.9	36.6 to 2.8	0.58	2.3					
MAXIMUM WIND (KT)	: 75.0	22.5 to 137.5	0.81	1.7					
2nd PC OF IR BR TEMP	: 1.0	2.9 to -2.9	0.32	0.8					
POT = MPI-VMAX (KT)	: 71.3	27.5 to 139.6	0.39	1.0					
D200 (10**7s-1)	: 31.2	-29.7 to 185.9	0.28	0.3					
%area of TPW <45 mm upshear	: 41.5	100.0 to 0.0	0.58	0.0					
BL DRY-AIR FLUX (W/M2)	: 439.4	895.4 to -55.0	0.48	0.0					
<b>预报因子</b>									
SHIPS Prob RI for 20kt/ 12hr RI threshold=	11% is	2.2 times climatological mean	( 5.2%)						
SHIPS Prob RI for 25kt/ 24hr RI threshold=	23% is	2.1 times climatological mean	(10.9%)						
SHIPS Prob RI for 30kt/ 24hr RI threshold=	17% is	2.4 times climatological mean	( 6.9%)						
SHIPS Prob RI for 35kt/ 24hr RI threshold=	13% is	3.1 times climatological mean	( 3.8%)						
SHIPS Prob RI for 40kt/ 24hr RI threshold=	12% is	5.6 times climatological mean	( 2.4%)						
SHIPS Prob RI for 45kt/ 36hr RI threshold=	13% is	2.9 times climatological mean	( 4.5%)						
SHIPS Prob RI for 55kt/ 48hr RI threshold=	16% is	3.4 times climatological mean	( 4.6%)						
SHIPS Prob RI for 65kt/ 72hr RI threshold=	18% is	3.3 times climatological mean	( 5.4%)						
Matrix of RI probabilities									
RI (kt / h)	20/12	25/24	30/24	35/24	40/24	45/36	55/48	65/72	
SHIPS-RII:	11.3%	23.3%	16.6%	13.3%	12.1%	13.0%	15.5%	17.6%	
Logistic:	4.7%	23.5%	14.5%	9.5%	5.4%	17.9%	14.4%	14.2%	
Bayesian:	2.5%	7.8%	2.1%	0.2%	0.1%	1.8%	2.6%	0.2%	
Consensus:	6.2%	18.2%	11.0%	7.7%	5.9%	10.9%	10.8%	10.7%	
DTOPS:	11.0%	25.0%	17.0%	8.0%	4.0%	12.0%	8.0%	7.0%	
									<b>RII</b>

**2019年五级飓风“多里安” ( Dorian )**  
**美国国家飓风中心强度突变指数产品**

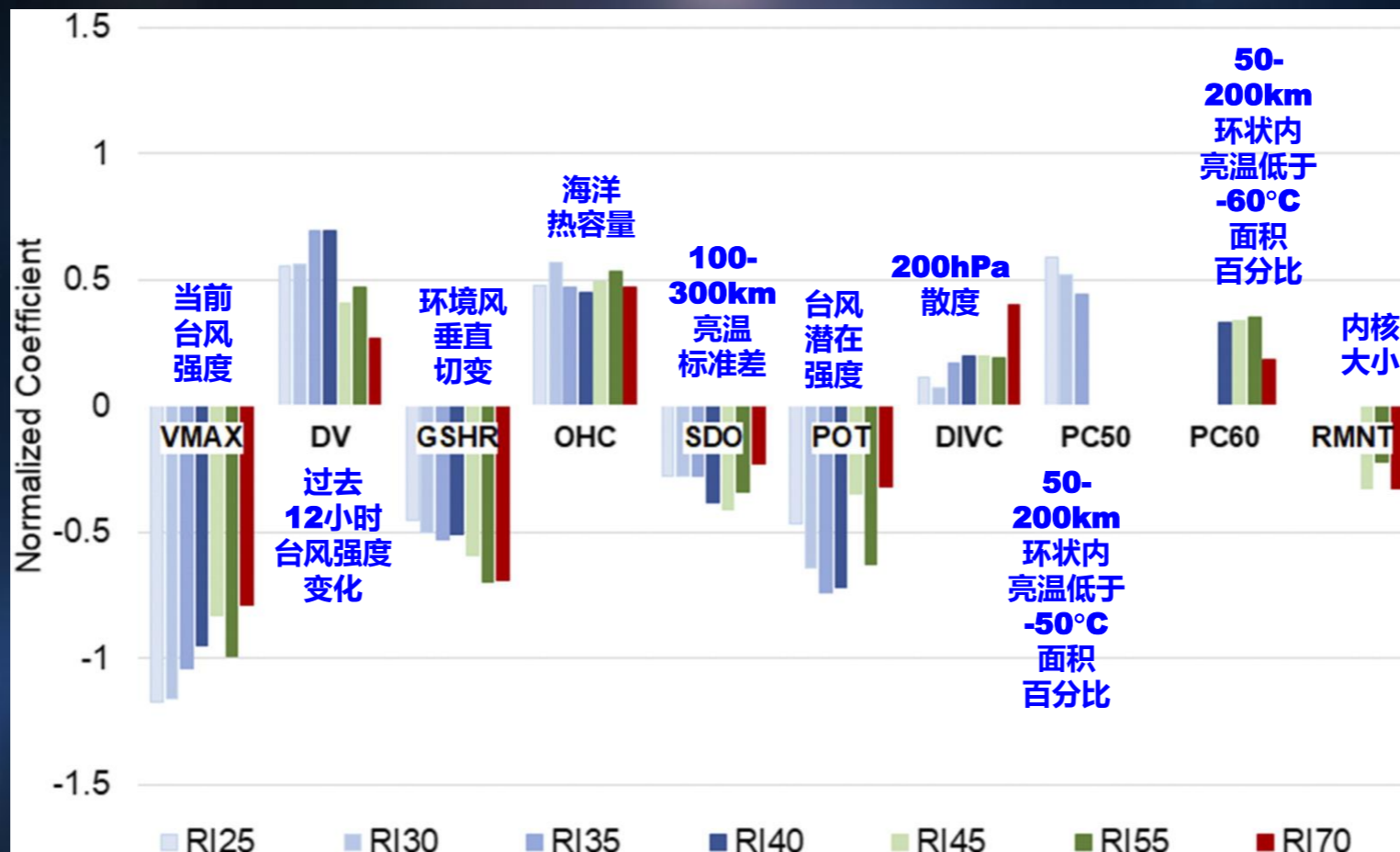
## ● 强度突变指数 Rapid Intensification Index ( RII )

<b>Predictors used in operational JTWC SHIPS-RII (Knaff et al. 2018)</b>		
	<b>Predictor</b>	<b>Definition</b>
<b>Environmental predictors</b> (time averaged from t=0 to the forecast time)		
<b>1</b>	<b>GSHR</b>	850-200hPa generalized wind shear in a 200-800km annulus (Knaff et al.2005)
<b>2</b>	<b>OHC</b>	Oceanic heat content (Shay et al. 2000)
<b>3</b>	<b>RHMD</b>	700-500hPa relative humidity averaged within 200-800km annulus
<b>4</b>	<b>DIVC</b>	200hPa divergence in 500km circle centered on the TC
<b>5</b>	<b>POT</b>	Potential intensity (at t=0)
<b>6</b>	<b>REFC</b>	Average relative eddy momentum flux convergence ( $\text{ms}^{-1}\text{day}^{-1}$ ) in 100-600km
<b>7</b>	<b>TADV</b>	Temperature advection between 850 and 700hPa averaged from 0 to 500km
<b>Satellite IR predictors</b>		
<b>8</b>	<b>PC50</b>	Percentage of IR pixels colder than $-50^{\circ}\text{C}$ within a 50-200km annulus
<b>9</b>	<b>PC60</b>	Percentage of IR pixels colder than $-60^{\circ}\text{C}$ within a 50-200km annulus
<b>10</b>	<b>SDO</b>	Standard deviation of IR brightness temperature at 100-300km
<b>11</b>	<b>RMNT</b>	Radius of minimum brightness temperature (0-150km)
<b>13</b>	<b>FR5</b>	Deviation of IR-based TC size (R5) from the climatological population
<b>Best-track/advisory-based predictors</b>		
<b>14</b>	<b>VMAX</b>	Current TC intensity (t=0)
<b>15</b>	<b>DV</b>	12h change in TC intensity, which is limited by the following function: $\text{DV} = \min[\min(\text{VMA} \times 0.33, 17.5), \text{DV}]$

● **强度突变指数 Rapid Intensification Index ( RII )**

**Predictors used in operational JTWC SHIPS-RII**

(Knaff et al. 2018)

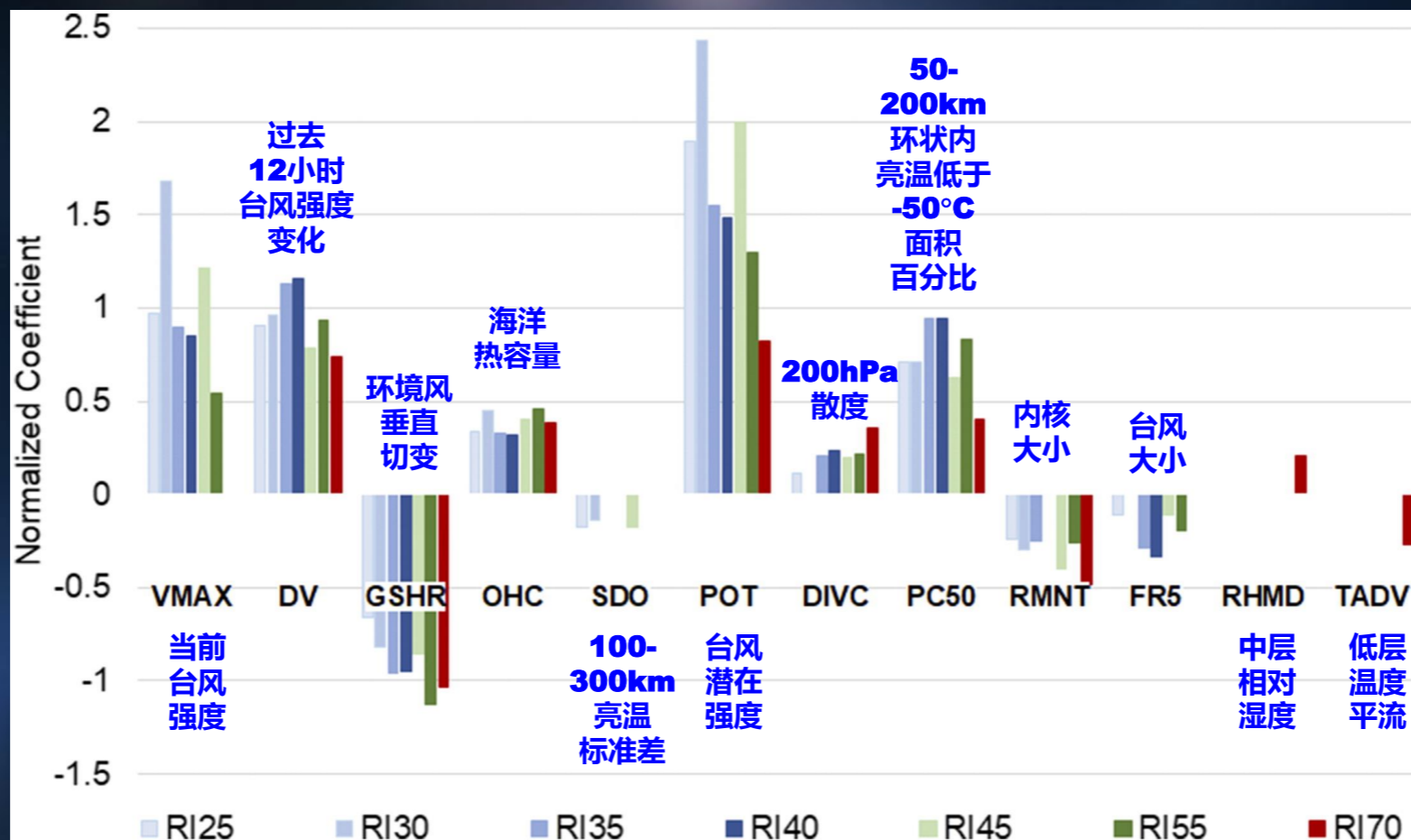


**Normalized discriminant function coefficients for linear discriminant analysis (LDA)**

● **强度突变指数 Rapid Intensification Index (RII)**

**Predictors used in operational JTWC SHIPS-RII**

(Knaff et al. 2018)

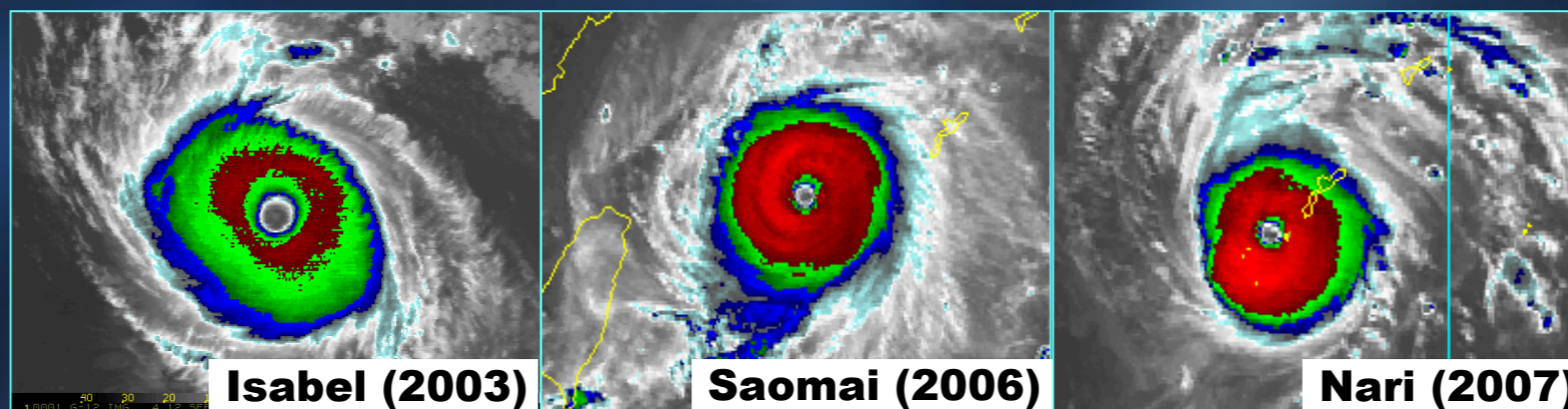


**Normalized discriminant function coefficients for logistic regression(LRE)**

## ● 环状飓风指数 Annular Hurricane Index ( AHI )

### ✓ 环状飓风的结构

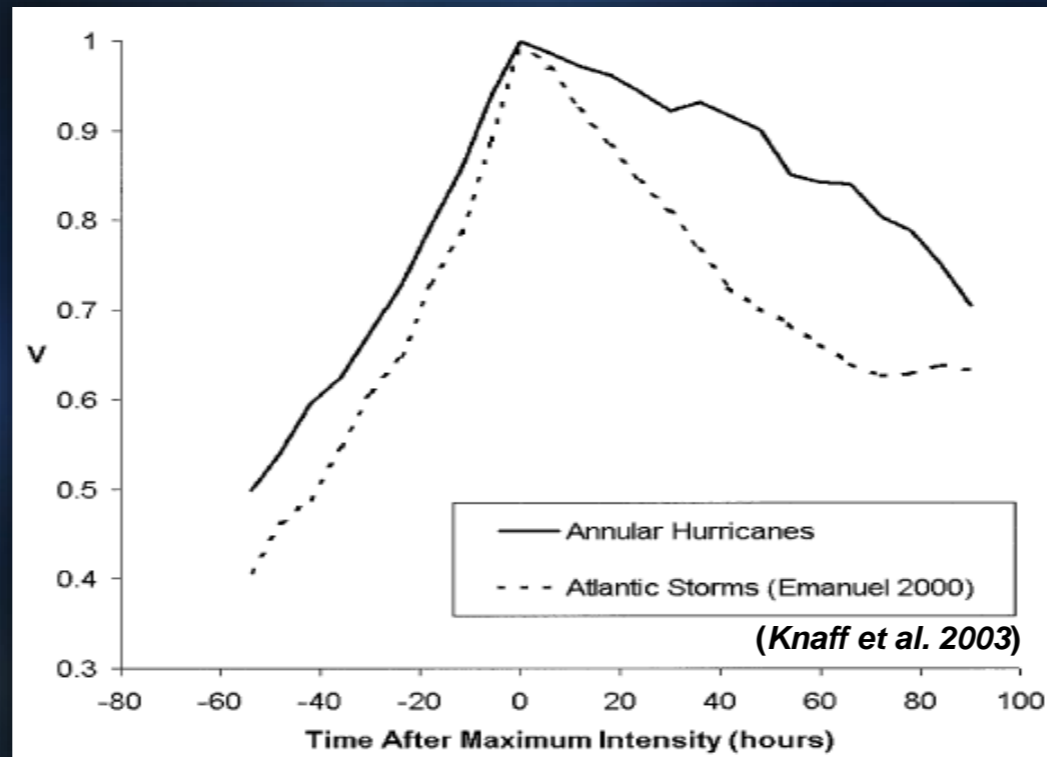
- Distinctly axisymmetric
- Large circular eyes
- Greatly reduced rainband activity
- Lasts at least 3 hours
- Rare, occur ~4% of the time
- ~4% Western Pacific 2000-2009 (Chu & Tan, 2014)
- East Pacific 3% and Atlantic 0.8% 1989–1999



## ● 环状飓风指数 Annular Hurricane Index ( AHI )

### ✓ 环状飓风强度特点

- Do not weaken rapidly after max intensity
- Intensity is very close to 85% MPI with respect to SST
- Have large intensity biases & larger than normal intensity errors



## ● **环状飓风指数 Annular Hurricane Index ( AHI )**

### ✓ **环状飓风形成的环境条件**

- **Weak Easterly/Southeasterly Wind Shear**
- **Weak Relative Eddy Flux Convergence**
- **200hPa Easterlies**
- **SSTs in a range 25.4 to 28.6°C steady or decreasing.**
- **Weak Easterly shear, under an upper ridge, over SST <28.6°C**
- **Intensity > 85kt**

## ● 环状飓风指数 Annular Hurricane Index ( AHI )

### ✓ 环状飓风指数的计算

Subjective Diagnostic	Objective Parameter	Source
Large eyewall radius	$R_c$	IR Imagery
Warm Eye	$\Delta T_{eye}$	IR Imagery
Vertical shear	SHRD	NCEP analysis
200 hPa winds	U200	NCEP analysis
Eddy flux convergence	REFC	NCEP analysis
SST	SST	Reynolds SST
Intensity	Intensity	NHC Best Track

Yellow = Structure

Blue = Environment

REFC: Relative Eddy Momentum Flux Convergence

$$REFC = -r^{-2} \frac{\partial}{\partial r} (r^2 \overline{U'_L V'_L})$$

$r$  is the radius from storm center,  $U$  is the radial wind,  $V$  is the tangential wind, the overbar represents an azimuthal average with respect to storm center, the primes represent deviations from that average, and the subscript  $L$  indicates a coordinate system moving with the storm.

● **环状飓风指数 Annular Hurricane Index ( AHI )**

✓ **环状飓风指数的计算**

① **筛查 ( Screening )**

<b>Parameter</b>	<b>Prescreening Criterion</b>
<b>Intensity</b>	<b>&lt; 84kt</b>
<b>R<sub>c</sub></b>	<b>&lt; 50km</b>
<b>ΔT<sub>eye</sub></b>	<b>&lt; 15°C</b>
<b>SHRD</b>	<b>&gt; 11.3 ms<sup>-1</sup></b>
<b>U200</b>	<b>&lt; -11.8 or &gt; 1.5 ms<sup>-1</sup></b>
<b>REFC</b>	<b>&lt; -9 or &gt; 11 ms<sup>-1</sup> day<sup>-1</sup></b>
<b>SST</b>	<b>&lt; 24.3 or &gt; 29.1 °C</b>

# ✓ 环状飓风指数的计算

## ② 线性判别分析 -- 机器学习方法之一

### Linear Discriminant Analysis(LDA)

Annular Hurricane Index EP052006 07/22/2006 00 UTC			
Parameter	Observation	Criterion	Passed or not
Screening <b>Storm Intensity</b>	= 130kt	> 84kt ?	---> Passed
Screening <b>SST</b>	= 27.3°C	> 24.3°C ?	---> Passed
Screening <b>SST</b>	= 27.3°C	< 29.1°C ?	---> Passed
Screening <b>Vertical Shear</b>	= 5.7kt	< 21.97 kt ?	---> Passed
Screening <b>200hPa Zonal Wind</b>	= -13.2kt	> -22.94 kt ?	---> Passed
Screening <b>200hPa Zonal Wind</b>	= -13.2kt	< 2.92 kt ?	---> Passed
Screening <b>200hPa Mom Flux Conv</b>	= -0.1m/s-day	> -9m/s-day ?	---> Passed
Screening <b>200hPa Mom Flux Conv</b>	= -0.1m/s-day	< 11m/s-day ?	---> Passed
Screening <b>Goes Rad Cold Br Temp</b>	= 106km	> 50km ?	---> Passed
Screening <b>Goes Eye-ring Br Temp</b>	= 16.2°C	> 15°C ?	---> Passed

Storm may be annular, passed screening  
Calculate AHI from discriminant analysis

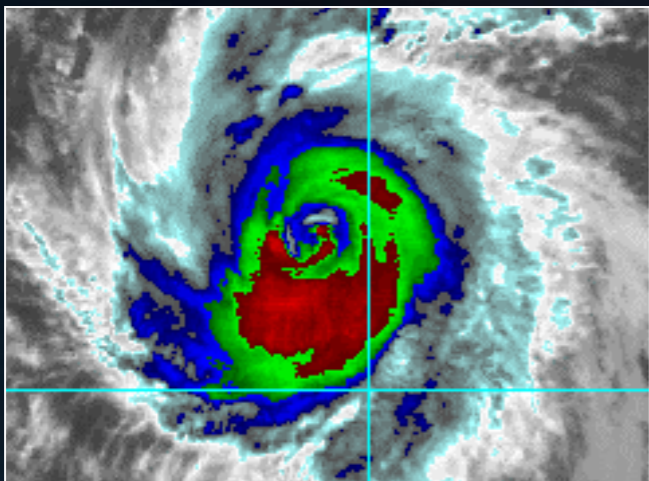
**Annular Hurricane Index (AHI) Value = 100.**

**(AHI = 100. is best match to annular structure)**

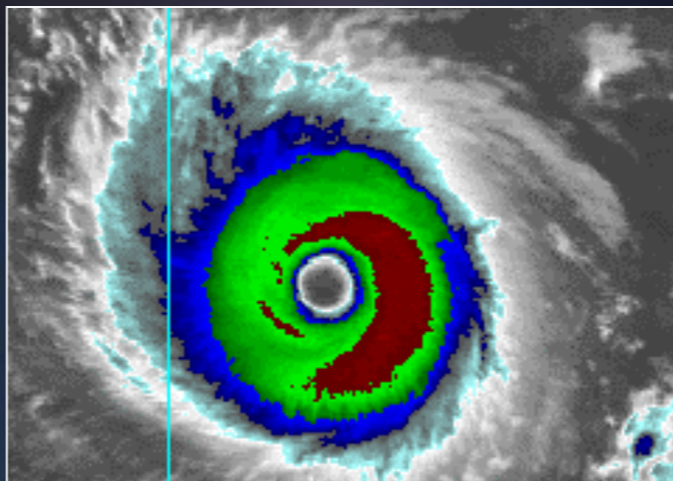
**(AHI = 1. is worst match to annular structure)**

**(AHI = 0. for no annular structure)**

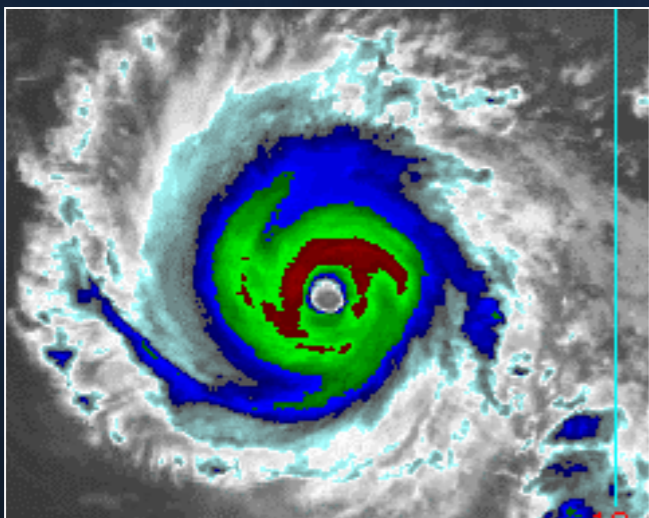
# ● 环状飓风指数 --- 四级飓风Daniel ( 2006 ) 示例



7/20/06 0Z, Vmax= 95kt  
AHI = 0



7/22/06 0Z Vmax = 130kt  
AHI = 100



7/21/06 0Z, Vmax= 120kt  
AHI = 50

- AHI = 0  
→ No annular structure
- AHI = 1  
→ Worst match to annular structure
- AHI = 100  
→ Best match to annular structure

● **环状飓风指数 Annular Hurricane Index ( AHI )**

**2018/2019**

**NHC Operational Statistical AHI Product**

```
ANNULAR HURRICANE INDEX (AHI) AL052019 DORIAN 08/29/19 12 UTC  
STORM NOT ANNULAR, SCREENING STEP FAILED, NPASS=3 NFAIL=4  
AHI= 0 (AHI OF 100 IS BEST FIT TO ANN. STRUC., 1 IS MARGINAL, 0 IS NOT ANNULAR)
```

**2019年五级飓风“多里安” ( Dorian )**

**美国国家飓风中心环状飓风指数产品**

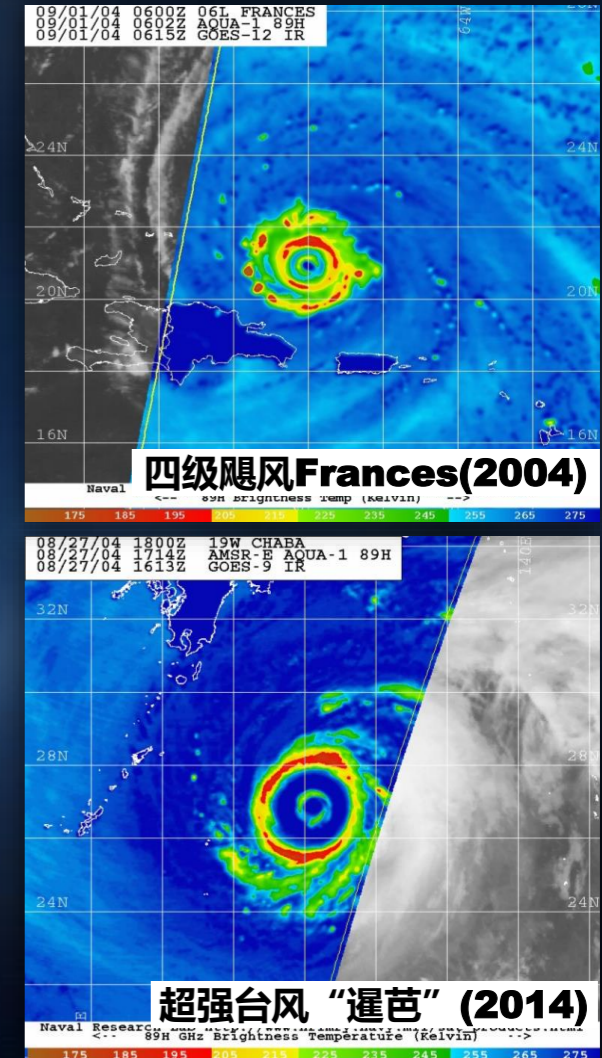
**<ftp://ftp.nhc.noaa.gov/atcf/stext>**

## ● 双眼墙形成指数

### Secondary Eyewall Formation Index ( SEFI )

#### 双眼墙台风特点

- ✓ Precursors to large and rapid intensity and structure changes
- ✓ Often interrupt intensification, sometimes briefly, sometimes permanently
- ✓ Wind field expands: **wind radii, storm surge, and integrated kinetic energy** all increase
- ✓ Present a unique forecast challenge, but no objective guidance available



## ● 双眼墙形成指数

### Secondary Eyewall Formation Index ( SEFI )

Predictors used in operational <b>NHC</b> SEFI			
	Predictor	Definition	Preference for SEF
<b>1</b>	<b>VMX</b>	Current intensity	Stronger
<b>2</b>	<b>LAT</b>	Latitude	Further south
<b>3</b>	<b>D26C</b>	Climatological depth of 26°C ocean	Deeper
<b>4</b>	<b>U200</b>	200hPa zonal wind (200–800 km from center)	Weaker (near zero), very narrow range
<b>5</b>	<b>RHHI</b>	500-300hPa relative humidity	Moister
<b>6</b>	<b>TWAC</b>	0-600km average symmetric tangential wind at 850hPa	Stronger
<b>7</b>	<b>PENC</b>	Azimuthally averaged surface pressure at outeredge of vortex	Lower
<b>8</b>	<b>SHRD</b>	850–200-hPa shear magnitude	Weaker, narrow range
<b>9</b>	<b>VMPI</b>	Maximum potential intensity	Higher, very narrow range
<b>10</b>	<b>IR00–05</b>	Standard deviation (from axisymmetry) of infrared brightness temperature between 100 and 300km	Smaller (more axisymmetric)
<b>11</b>	<b>IR00–16</b>	Average infrared brightness temperature between 20 and 120km	Colder, narrow range

## ● 双眼墙形成指数

### Secondary Eyewall Formation Index ( SEFI )

#### ✓ Probability of Secondary Eyewall Formation (PSEF)

- Executes within SHIPS using environmental and satellite-based features as input
- Probability of secondary eyewall formation, given a collection **F** of observed features (storm, environment, satellite)
- Provides probability of the onset of an eyewall Formation at lead-times: 0–12h, 12–24h, 24–36h, 36–48h

$$P(C_{\text{sef}}|\mathbf{F}) = \frac{P(C_{\text{sef}})P(\mathbf{F}|C_{\text{sef}})}{P(\mathbf{F})}$$

## ● 双眼墙形成指数

Secondary Eyewall Formation Index ( SEFI )

2018/2019

NHC Operational Statistical PSEF Product

```
** PROBLTY OF AT LEAST 1 SCNDRY EYEWL FORMTN EVENT AL052019 DORIAN      08/29/2019  12 UTC *
TIME(HR)   0-12  12-24(0-24)  24-36(0-36)  36-48(0-48)
CLIMO(%)   5      8( 13)      11( 22)      16( 35)      <-- PROB BASED ON INTENSITY ONLY
PROB(%)    0      1( 1)       0( 1)        1( 2)        <-- FULL MODEL PROB (RAN NORMALLY)
```

2019年五级飓风“多里安” ( Dorian )

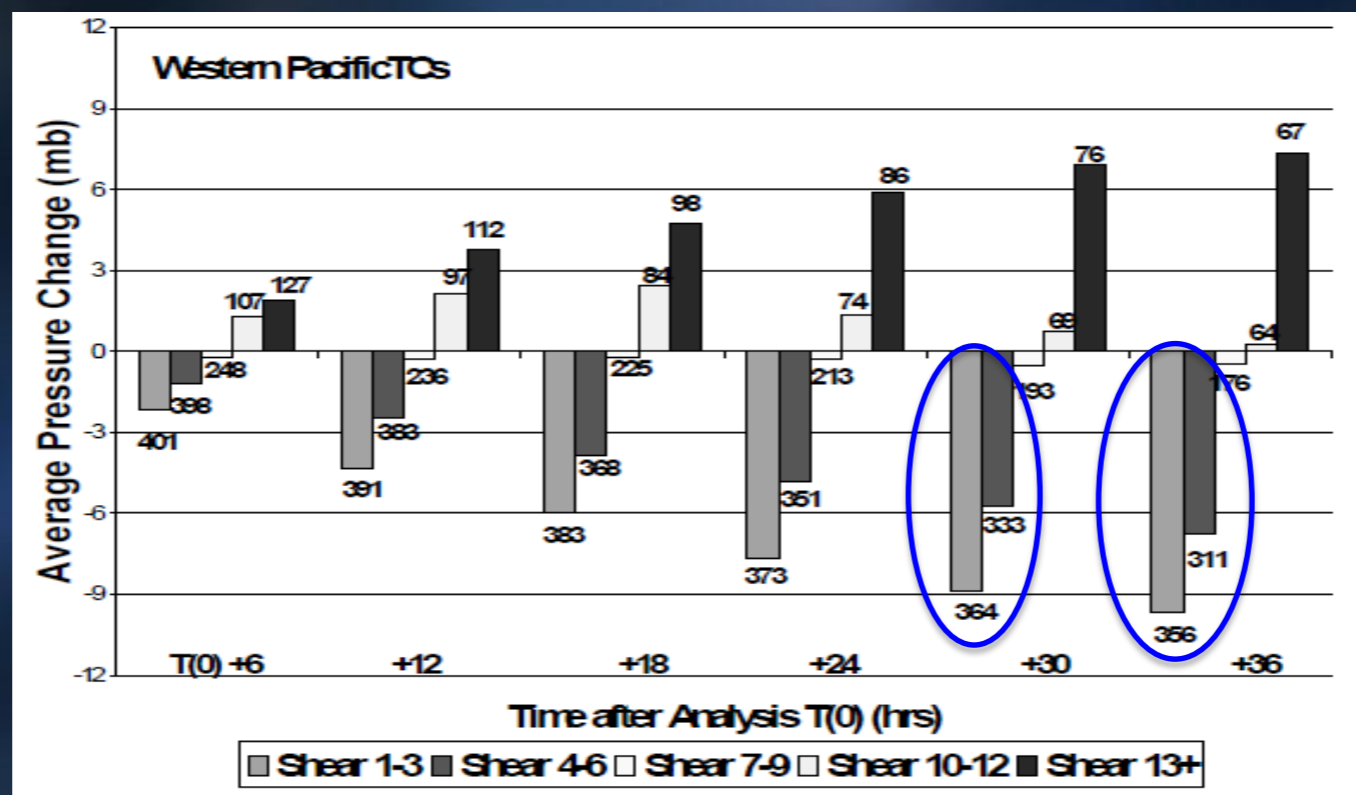
美国国家飓风中心双眼墙形成指数产品

<ftp://ftp.nhc.noaa.gov/atcf/stext>

## ● 风暴垂直切变倾向

### Storm Relative Shear Tendency

- 西北太平洋，环境垂直切变临界值约为9-10米/秒
  - ① 环境垂直切变大于9-10米/秒时，一般趋于减弱
  - ② 环境垂直切变小于9-10米/秒时，一般趋于加强或维持



西太平洋台风强度变化与环境风垂直切变的关系

## ● 台风变性指数

### **Extra Tropical Transition Index ( ETI )**

- ✓ Structural unknowns, the cyclone having originated and tracked over oceanic/data sparse areas
- ✓ Rapid changes in this structure that occur during the transformation phase
- ✓ ET is a complex evolutionary process that involves interactions over a variety of horizontal and vertical scales
- ✓ Some of the physical processes of ET are as yet still uncertain
- ✓ ET process is poorly understood and incompletely researched
- ✓ A universal definition of ET does not exist

- **台风变性指数**

**Extra Tropical Transition Index ( ETI )**

- ✓ **热力非对称性指数 ( Thermal Asymmetry )**



- ✓ **热成风指数 ( Thermal wind )**

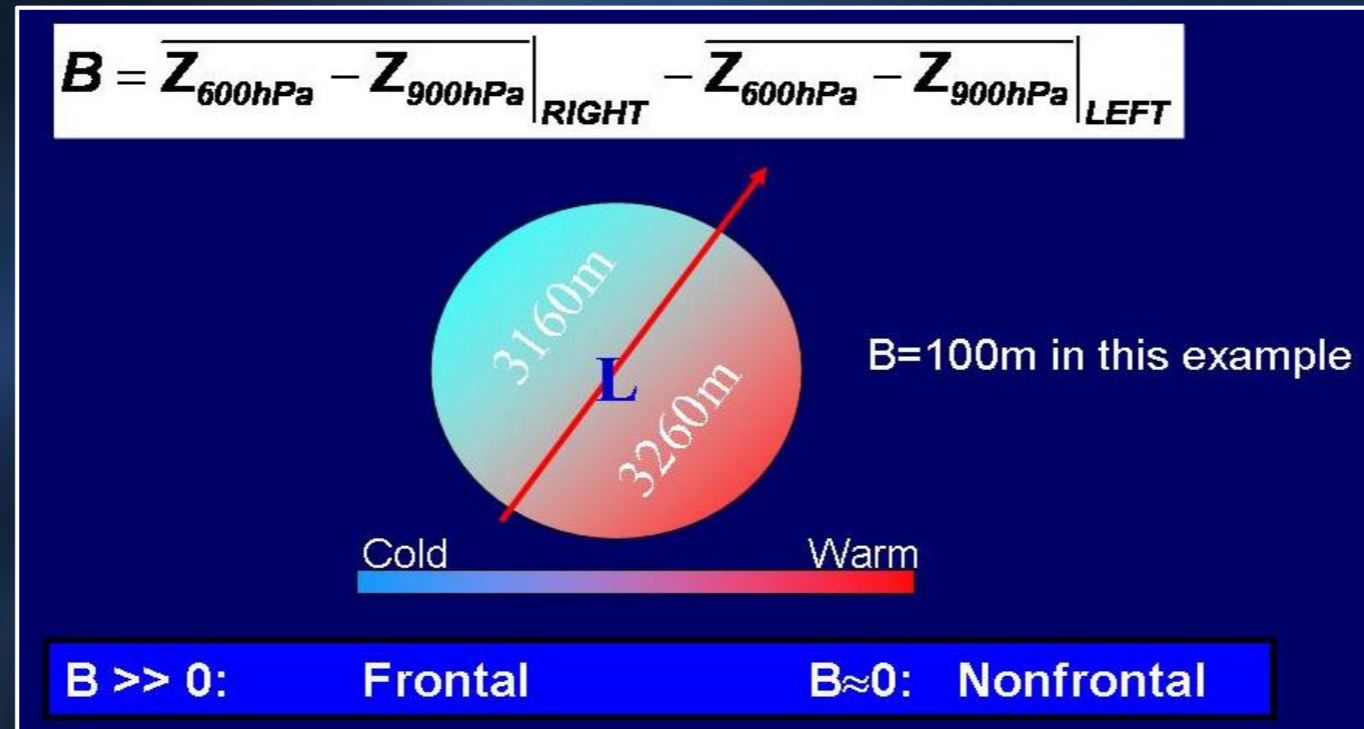


## ● 台风变性指数

Extra Tropical Transition Index ( ETI )

### ✓ 热力非对称性指数 ( Thermal Asymmetry )

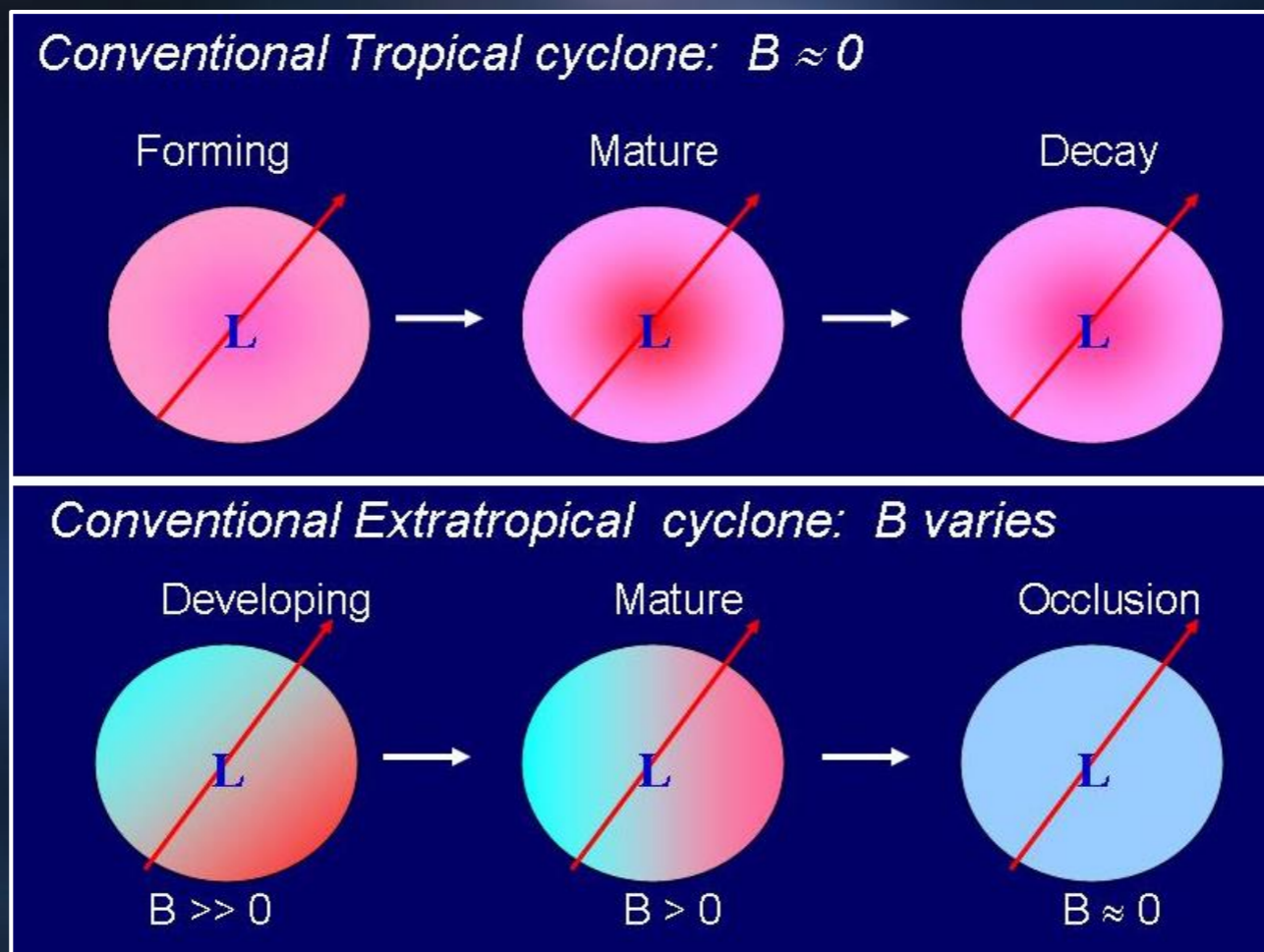
- 按台风移动方向将以台风中心为圆心、半径为500km的圆形区域分为左右半圆，左右半圆600-900hPa的平均等压面高度差的非对称性差异定义为热力非对称性指数B，用公式表示如下：



## ● 台风变性指数

Extra Tropical Transition Index ( ETI )

## ✓ 热力非对称性指数 ( Thermal Asymmetry )



# ✓ 热成风指数 ( Thermal wind ) - $V_T$

500km半径范围内等压面高度差:

$$\Delta Z = Z_{MAX} - Z_{MIN}$$

中高纬地区, 高空风十分接近地转风, 由地转风( $V_g$ )平衡关系, 得到:

$$\Delta Z = d f / |V_g| / g$$

$d$  为等压面高度差的距离,  $f$  为科氏参数,  $g$  为重力加速度

由热成风( $V_T$ )平衡关系, 得到:

$$\frac{\partial(Z_{MAX} - Z_{MIN})}{\partial \ln p} \Big|_{900hPa}^{600hPa} = -|V_T^L|$$

$$\frac{\partial(Z_{MAX} - Z_{MIN})}{\partial \ln p} \Big|_{600hPa}^{300hPa} = -|V_T^U|$$

$$-V_T^L < 0$$

&

$$-V_T^U < 0$$

Cold-core

$$-V_T^L > 0$$

&

$$-V_T^U > 0$$

Warm-core

根据静力学公式, 台风是暖心结构, 气压梯度随高度减少

